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JC972 U.S. PTO  
09/760949  
01/16/01

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 16, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL610015173US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Pamela Johnston  
(Print Name)  
*Pamela Johnston*  
(Signature)

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110  
Case Docket # 20532  
January 16, 2001

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): MASAMI KOHCHI, KAZUNAO MASUBUCHI, TAKESHI MURATA  
TAKEHIRO OKADA and NOBUO SHIMMA

For: AEROTHRICIN DERIVATIVES

Enclosed are:

1. X 11 sheet(s) of drawing.  formal  informal
2. X 3 page(s) of Declaration and Power of Attorney (unsigned)
3. \_\_\_\_\_ page(s) of Sequence Listing
4. \_\_\_\_\_ computer disk(s) containing Sequence Listing
5. \_\_\_\_\_ Statement under 37 CFR § 1.821 or 37 C.F.R. § 1.825
6. X 33 pgs. specification, 3 pgs. claims, 1 pg. abstract and 11 sheets drawings

7. The fee has been calculated as shown below:

CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	13 - 20	0	x \$18	\$
INDEP. CLAIMS	3 - 3	0	x \$78	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$260	
BASIC FEE				\$710.00
			TOTAL	<u>\$710.00</u>

8. X Please charge my Deposit Account No. 08-2525 in the amount of \$710.00. This sheet is provided in triplicate.

9. \_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

10. X The Commissioner is hereby authorized to charge payment of the following fees or any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525. This sheet is provided in triplicate.

X Any filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

11. Priority - 35 U.S.C. § 119

FOREIGN PRIORITY

[X] Foreign Priority of application(s) number 00100807.7 filed on January 17, 2000 in Europe is claimed under 35 U.S.C. § 119(a)-(d) or 35 U.S.C. § 365(a)-(b).

[ ] The certified copy(ies) has(have) been filed in prior U.S. patent application Serial No. \_\_\_\_\_ on \_\_\_\_\_.

[X] The certified copy(ies) will follow.

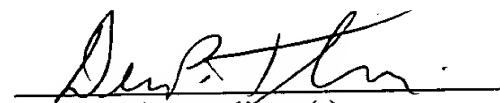
13. [X] The power of attorney in the application is to:

George W. Johnston (Reg. No. 28,090), William H. Epstein (Reg. No. 20,008), Dennis P. Tramaloni (Reg. No. 28,542), Patricia S. Rocha-Tramaloni (Reg. No. 31,054).

- a.  The power appears in the original papers of the application.
- b.  Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c.  Recognize as associate attorney \_\_\_\_\_.

14.  Address all communications to

George W. Johnston  
Hoffmann-La Roche Inc.  
340 Kingsland Street  
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